

PRIVACY POLICY

AUGS MARKETS PTY LTD ('**AUGS**') recognises the importance of your privacy and abides by the Australian Privacy Principles (APP) contained in Schedule 1 of the Privacy Act 1988 (Cth). We will take reasonable steps to ensure that all information we collect or use is accurate, complete, and up to date and stored in a secure environment and is accessed only by authorised personnel for permitted purposes.

Your privacy is important to us. This statement outlines our policy on how we manage the personal information we hold about our customers, shareholders and others.

We may collect information from you such as your name, address, phone number, email address, age and information regarding the services you inquire about or engage us for. This information may come from our webform, cookies on our website or when you speak to us over the telephone.

We may also use your contact details to send you information about us, including information about our services and third party products. Please send us an email if you do not wish us to send you information on services and third party products and we will give you the opportunity to choose not to receive any more information of that sort from us.

We may exchange information with, or disclose your personal information to:

- our related bodies corporate, agents, employees and representatives, either in Australia or China (where we disclose information overseas, we will take reasonable steps to ensure that you have the same level of privacy protection as you would have here in Australia);
- financial institutions and other similar organisations in connection with our corporate activities or that are nominated by you;
- external service providers and professional advisers that provide services to us;
- any organisation which you request us to or any persons acting on your behalf, including your financial adviser, broker, solicitor or accountant.

We may make enquiries as to your identity and other personal details as required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Your personal information will be treated strictly in accordance with the Australian Privacy Principles in the Privacy Act 1988 (Cth) and the 'private sector amendments' contained in the Privacy Amendment (Private Sector) Act 2000 (Cth).

We may use this information for internal or marketing purposes and we may provide some information to credit reference agencies or service providers to confirm your credit rating.

We may record telephone conversations between you and persons working for us. Such recordings, or transcripts from such recordings, may be used to resolve any dispute between you and AUGS.

AUGS' website uses cookies. A cookie is a small amount of data which often includes a unique identification number or value that is sent to your browser from a website's computer and stored on your computer's hard drive. This information is used to evaluate and improve the performance of our websites. We do not collect any information about you through our website unless you provide the information to us. We collect statistical information about visitors to our websites such as the number of visitors, pages viewed, types of transactions conducted, time online and documents downloaded. We will endeavour to ensure that this statistical information will be anonymous and will anonymise the information if it is not.

We understand that the protection and security of your information is important to you. We will take reasonable steps to ensure that your information is as secure as possible.

Except as set out above, we will not disclose your Personal Information unless permitted to do so by law or by regulatory requirements. We may also be required to provide your personal information to the Australian Securities & Investments Commission, AUSTRAC, the Australian Taxation Office and other regulatory and government bodies from time to time in Australia.

If you wish to access or modify Personal Information which we hold about you or request its removal from our records or if you are unhappy with any aspect of our collection or use of your information, please contact us by phone or email:

For clients within Australia:	(02) 8542 7812
For clients outside Australia:	+61 2 8542 7812
Email:	admin@aug.com.au

We will endeavor to get back to you within 24 hours and resolve your enquiry within 2 weeks. AUGS may make changes to this Privacy Policy from time to time for any reason.

The privacy policy on our website will be the most up-to-date privacy policy. It will be your responsibility to ensure that the privacy policy you are using is the most current one.

This privacy policy is dated 10 February 2020.